

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Johnson, et al. Confirmation No.:
Appl No.: Group Art Unit: 2121
Filed: concurrently herewith Examiner: Pham
For: ADAPTIVE CONTROL SYSTEM HAVING HEDGE
UNIT AND RELATED APPARATUS AND METHODS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT
CITATION UNDER 37 C.F.R. § 1.97**

Attached is a list of documents on form PTO-1449. It is requested that the Examiner consider these documents and officially make them of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. By submitting the listed documents, Applicant in no way makes any admission as to the prior art status of the listed documents, but is instead submitting the listed documents for the sake of full disclosure.

All items are attached except those that were supplied in, or cited by the Office during prosecution of, parent Application No. 09/585,106 filed May 31, 2000. Since the benefit of this application was claimed under 35 U.S.C. 120, no copies need to be furnished in accordance with 37 C.F.R. 1.98(d); however, copies will be furnished on request.

Respectfully submitted,



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(Revised 04/2003)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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Complete if Known

Application Number	
Filing Date	concurrently herewith
First Named Inventor	Johnson
Group Art Unit	
Examiner Name	
Attorney Docket Number	024060/264885

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	Document Number Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear
	1	US-4,062,508	12-13-1977	Stephens, et al.	
	2	US-4,466,054	08-14-1984	Shigemasa, et al.	
	3	US-5,113,483	05-12-1992	Keeler, et al.	
	4	US-5,155,802	10-13-1992	Mueller, et al.	
	5	US-5,170,463	12-08-1992	Fujimoto, et al.	
	6	US-5,224,664	07-06-1993	Adams, Sr. et al.	
	7	US-5,241,509	08-31-1993	Jousselin, et al.	
	8	US-5,253,328	10-12-1993	Hartman	
	9	US-5,268,834	12-1993	Sanner et al.	
	10	US-5,274,746	12-28-1993	Mashiko	
	11	US-5,282,261	01-25-1994	Sheirik	
	12	US-5,303,385	04-12-1994	Hattori, et al.	
	13	US-5,311,421	05-10-1994	Nomura, et al.	
	14	US-5,313,559	05-17-1994	Ogata, et al.	
	15	US-5,367,612	11-22-1994	Bozich, et al.	
	16	US-5,396,415	03-07-1995	Konar, et al.	
	17	US-5,406,474	04-1995	Hansen, Peter D.	
	18	US-5,469,265	11-21-1995	Measures, et al.	
	19	US-5,475,793	12-12-1995	Broomhead, et al.	
	20	US-5,541,843	07-30-1996	Yamaguchi, et al.	
	21	US-5,682,309	10-28-1997	Bartusiak et al.	
	22	US-5,687,075	11-1997	Stothers, Ian MacGregor	
	23	US-5,691,893	11-1997	Stothers, Ian MacGregor	

Examiner Signature		Date Considered	
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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		3		024060/264885	
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	24	US-5,692,708	12-02-1997	Coleman et al.	
	25	US-5,768,124	06-1998	Stothers et al.	
	26	US-5,796,608	08-1998	Bunzemeier, Andreas	
	27	US-5,901,059	05-1999	Tao et al.	
	28	US-5,936,792	08-10-1999	Kobayashi et al.	
	29	US-6,026,793	02-2000	Yasui et al.	
	30	US-6,092,919	07-2000	Calise et al.	
	31	US-6,216,047	04-2001	Goto, Katshuhiro	
	32	US-6,230,062	05-2001	Shah, Sunil C.	
	33	US-6,241,435	06-2001	Huang et al.	
Examiner Signature				Date Considered	

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STATEMENT BY APPLICANT**

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Sheet 3 3

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Application Number	
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Attorney Docket Number	024060/246885

OTHER DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	English Language Translation Attached
	1	PARENT CORPORATION TREATY, Written Opinion Search Report, 6 May 2003, International Application No. PCT/US01/12797, filed 20 April 2001 by Applicant Georgia Tech Research Corporation	

Examiner Signature		Date Considered	
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